

Section #3

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PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: US, DEPARTMENT OF COMMERCE

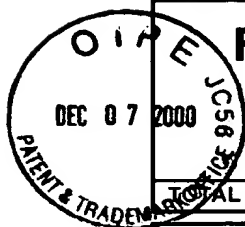
<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/602,184	
	Filing Date	June 22, 2000	
	First Named Inventor	Juergen Kleinschmidt	
	Group Art Unit	2881	
	Examiner Name		
Total Number of Pages in This Submission		Attorney Docket Number	LMPY-6410-(US)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Statement  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application  <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity  <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <div>Request for Corrected Official Filing Receipt</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	LIMBACH & LIMBACH L.L.P. Andrew V. Smith (Reg. No. 43,132)
Signature	
Date	December 4, 2000

Transmittal	
I hereby certify that this document is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.	
Dated: 12-4-00	Signature:  (Karen Karlin)

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/602,184
Filing Date	June 22, 2000
First Named Inventor	Juergen Kleinschmidt
Examiner Name	
Group Art Unit	2881
Attorney Docket Number	LMPY-6410-(US)

TOTAL AMOUNT OF PAYMENT (\$) 130.00

**METHOD OF PAYMENT (check one)**1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:Deposit  
Account  
Number

12-1420

Deposit  
Account  
Name

Limbach &amp; Limbach L.L.P.

☒ Charge Any Additional  
Fee Required Under  
37 CFR 1.16 and 1.17☐ Applicant claims small  
entity status. See  
37 CFR 1.272. ☒ Payment Enclosed☒ Check ☐ Credit Card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	x	=
Multiple Dependent	-3** =	x	=

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

130.00

**SUBMITTED BY**

Name (print/type) Andrew V. Smith

Registration No.  
(Attorney/Agent)

43,132

Telephone

415 433-4150

Signature

Date

December 4, 2000

**Fee Transmittal**

I hereby certify that this document is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.

Dated: 12-4-00

Signature: Karen Karlin (Karen Karlin)

I hereby certify that this document is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to: BOX MISSING PARTS, Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.

Dated: 12-4-00 Signature: Karen Karlin  
Karen Karlin

Docket No.: LMPY-6410-(US)  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Juergen Kleinschmidt, et al.

Application No.: 09/602,184

Filed: June 22, 2000

For: NARROW BAND EXCIMER LASER WITH A  
PRISM-GRATING AS LINE-NARROWING  
OPTICAL ELEMENT



Group Art Unit: 2881

Examiner:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed November 8, 2000, Applicants respectfully submit a duly executed Declaration for Patent Application.

Our check in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-1420, under Order No. LMPY-6410-(US). **A duplicate copy of this paper is enclosed.**

Respectfully submitted,

Dated: December 4, 2000

By AVS  
Andrew V. Smith (Reg. No. 43,132)  
LIMBACH & LIMBACH L.L.P.  
2001 Ferry Building  
San Francisco, California 94111  
415 433-4150  
Attorneys for Applicants



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/602,184	06/22/2000	Juergen Kleinschmidt	LMPY-6410

Andrew V Smith  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111



## FORMALITIES LETTER



\*OC000000005539167\*

Date Mailed: 11/08/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/08/2000 GTEFFERA 00000112 09602184

01 FC:105

130.00 DP

I hereby certify that this document is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.

Dated: 12-4-00

Signature: Karen Karlin

Karen Karlin

Docket No.: LMPY-6410-(US)  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Juergen Kleinschmidt, et al.

Application No.: 09/602,184

Filed: June 22, 2000



Group Art Unit: 2881

Examiner:

For: **NARROW BAND EXCIMER LASER WITH A  
PRISM-GRATING AS LINE-NARROWING  
OPTICAL ELEMENT**

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on the attached copy as follows:

**Change "Residence, NOT PROVIDED" to --Weissenfels, Germany--; and**


**Change "Schroeder, Goetitinen" to --Schroeder, Goettingen--.**

Applicants additionally request that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-1420, under Order No. LMPY-6410-(US). **A duplicate copy of this paper is enclosed.**

Dated: December 4, 2000

Respectfully submitted,

By 

Andrew V. Smith

Registration No.: 43,132

LIMBACH & LIMBACH L.L.P.

2001 Ferry Building

San Francisco, California 94111

415 433-4150

Attorneys for Applicants

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Page 1 of 4



LIMBACH &amp; LIMBACH LLP

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/602,184	06/22/2000	2881	2492	LMPY-6410	5	57	8

MAS / Andrew V Smith  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111



## FILING RECEIPT



\*OC000000005539166\*

Date Mailed: 11/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

WEISSENFELS, GERMANY  
✓ Juergen Kleinschmidt, Residence, NOT PROVIDED;  
✓ Thomas Schroeder, Goettingen, GERMANY;  
GOETTINGEN

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/140,532 06/23/1999 \*  
WHICH CLAIMS BENEFIT OF 60/173,993 12/30/1999 \*  
WHICH CLAIMS BENEFIT OF 60/170,919 12/15/1999 \*  
WHICH CLAIMS BENEFIT OF 60/167,835 11/29/1999 \*  
(\* ) Data inconsistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted 11/07/2000

PRIOR ART STATEMENT DUE: 10/22/00FOREIGN FILING LETTER DUE: —FOREIGN FILING DEADLINE: —

## Title

18 MONTH SUSPENSE DATE: 12/22/01

Narrow band excimer laser with a prism-grating as line-narrowing optical element

ON Cal. Sm

## Preliminary Class

372

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231